



Daniel F. DiLascia, Jr., Owner / Member

Base Wealth Management  
7301 Merchant Court, Suite A  
Lakewood Ranch, FL 34240  
(941) 203-6040

November 21, 2024

**This brochure supplement provides information about Daniel F. DiLascia, Jr. that supplements the Base Wealth Management brochure. You should have received a copy of that brochure. Please contact Daniel F. DiLascia, Jr. if you did not receive Base Wealth Management's brochure or if you have any questions about the contents of this supplement.**

**Additional information about Daniel F. DiLascia, Jr. is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).**



## **Item 2 Educational Background and Business Experience**

Daniel F. DiLascia, Jr., Owner / Member, was born in 1976. Mr. DiLascia earned a Bachelor of Science degree in Finance from Siena College.

Mr. DiLascia formed Base Wealth Management in May 2022 and it became a Registered Investment Advisor in October 2022. In addition to his role at Base Wealth Management since October 2022, Mr. DiLascia is President of DICORB Holdings, Inc. since January 2014, the majority owner of Base O'Lakes LLC since November 2023, the owner of Base Insurance, LLC since December 2023, and an owner of DICORB TWO LLLP since February 2024. Previously, Mr. DiLascia was a Registered Representative with Intervest International Equities Corporation from February 2004 to October 2022, and an Investment Advisor Representative with Intervest International, Inc. from July 2015 to October 2022.

## **Item 3 Disciplinary Information**

There are no legal or disciplinary events or proceedings to report concerning Mr. DiLascia.

## **Item 4 Other Business Activities**

Mr. DiLascia is President and part owner of DICORB Holdings, Inc. d/b/a Base Commercial Real Estate, majority owner of Base O'Lakes LLC, and an owner of DICORB TWO LLLP, entities that rent commercial real estate. He is also the owner of Base Insurance, LLC, an insurance agency.

Mr. DiLascia is also licensed and registered as an insurance agent to sell life, accident, and other lines of insurance for various insurance companies, whose activity is conducted through an affiliated agency, Base Insurance, LLC, and unaffiliated third-party insurance agencies. Therefore, he will be able to purchase insurance products for any client in need of such services and will receive separate, yet typical compensation in the form of commissions for the purchase of such products. This creates a conflict of interest because of the receipt of additional compensation by Mr. DiLascia. Clients are not obligated to use Mr. DiLascia for insurance products and services. However, in such instances, there is no Base Wealth management fee associated with commission-based insurance products, and clients will be made aware of all commissions associated with the products prior to the transactions.

Mr. DiLascia's outside business activities do not constitute more than 20% of his time or income.

## **Item 5 Additional Compensation**

Mr. DiLascia does not receive compensation or other economic benefit from anyone who is not a client for providing advisory services to Base Wealth clients.

## **Item 6 Supervision**

Daniel F. DiLascia, Chief Compliance Officer, monitors the investment advisory activities, personal investing activities, and adherence to the Advisor's compliance program and code of ethics of the Base Wealth Management supervised persons on a continuous basis using various methods, including periodic inspection and review of client securities positions and transaction activity, obtaining certifications of compliance with company policies and procedures from those supervised, and obtaining and reviewing brokerage statements or transactions and holdings reports of the supervised persons. Daniel F. DiLascia can be reached at (941) 203-6040.



Sean M. Koscho, Investment Advisor Representative

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**This brochure supplement provides information about Sean M. Koscho that supplements the Base Wealth Management brochure. You should have received a copy of that brochure. Please contact Sean M. Koscho if you did not receive Base Wealth Management's brochure or if you have any questions about the contents of this supplement.**

**Additional information about Sean M. Koscho is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).**



## **Item 2 Educational Background and Business Experience**

Sean M. Koscho, Investment Advisor Representative, was born in 1969. Mr. Koscho earned an AB degree in Economics from Harvard University.

Previously, Mr. Koscho was a Firefighter/EMT for the Sarasota County Fire Department from May 2014 to October 2024, a Registered Representative with Intervest International Equities Corporation from January 2021 to October 2022, an Investment Advisor Representative at Intervest International, Inc. from June 2021 to October 2022, a Registered Representative with PFS Investments Inc. from September 2020 to January 2021, an Agent for Primerica Financial Services from March 2020 to January 2021, and the Football Coach at Cardinal Mooney High School from August 2010 to November 2019.

## **Item 3 Disciplinary Information**

There are no legal or disciplinary events or proceedings to report concerning Mr. Koscho.

## **Item 4 Other Business Activities**

Mr. Koscho is licensed and registered as an insurance agent to sell life, accident, and other lines of insurance for various insurance companies, whose activity is conducted through an affiliated agency, Base Insurance, LLC, and unaffiliated third-party insurance agencies. Therefore, he will be able to purchase insurance products for any client in need of such services and will receive separate, yet typical compensation in the form of commissions for the purchase of such products. This creates a conflict of interest because of the receipt of additional compensation by Mr. Koscho. Clients are not obligated to use Mr. Koscho for insurance products or services. However, in such instances, there is no Base Wealth management fee associated with commission-based insurance products, and clients will be made aware of all commissions associated with the products prior to the transactions. Mr. Koscho spends approximately 25% of his time on insurance-related activities.

## **Item 5 Additional Compensation**

Mr. Koscho does not receive compensation or other economic benefit from anyone who is not a client for providing advisory services to Base Wealth clients.

## **Item 6 Supervision**

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Kyle A. Howell, Investment Advisor Representative

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**This brochure supplement provides information about Kyle A. Howell that supplements the Base Wealth Management brochure. You should have received a copy of that brochure. Please contact Kyle A. Howell if you did not receive Base Wealth Management's brochure or if you have any questions about the contents of this supplement.**

**Additional information about Kyle A. Howell is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).**



## **Item 2 Educational Background and Business Experience**

Kyle A. Howell, Financial Advisor, was born in 1979. Mr. Howell earned a Bachelor of Arts degree in Economics from the University of South Florida.

In addition to his role as a Financial Advisor at Base Wealth Management since July 2023, Mr. Howell has held a license for insurance sales since 2014.

Previously, Mr. Howell was an Investment Advisor Representative and Registered Representative with Calton & Associates, Inc. from April 2019 to July 2023, a Registered Representative with Royal Alliance Associates, Inc. from November 2018 to April 2019, and a Registered Representative with SII from October 2015 to November 2018.

## **Item 3 Disciplinary Information**

There are no legal or disciplinary events or proceedings to report concerning Mr. Howell.

## **Item 4 Other Business Activities**

Mr. Howell is licensed and registered as an insurance agent to sell life, accident, and other lines of insurance for various insurance companies, whose activity is conducted through an affiliated agency, Base Insurance, LLC, and unaffiliated third-party insurance agencies. Therefore, he will be able to purchase insurance products for any client in need of such services and will receive separate, yet typical compensation in the form of commissions for the purchase of such products. This creates a conflict of interest because of the receipt of additional compensation by Mr. Howell. Clients are not obligated to use Mr. Howell for insurance products or services. However, in such instances, there is no Base Wealth management fee associated with commission-based insurance products, and clients will be made aware of all commissions associated with the products prior to the transactions.

Mr. Howell spends approximately 25% of his time on these outside activities.

## **Item 5 Additional Compensation**

Mr. Howell does not receive compensation or other economic benefit from anyone who is not a client for providing advisory services to Base Wealth clients.

## **Item 6 Supervision**

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Alexander C. Wolfe, CFP®, Head of Financial Planning

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**This brochure supplement provides information about Alexander C. Wolfe that supplements the Base Wealth Management brochure. You should have received a copy of that brochure. Please contact Alexander C. Wolfe if you did not receive Base Wealth Management's brochure or if you have any questions about the contents of this supplement.**

**Additional information about Alexander C. Wolfe is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).**



## Item 2 Educational Background and Business Experience

Alexander C. Wolfe, Head of Financial Planning, was born in 1992. Mr. Wolfe earned a Bachelor of Arts degree in Economics from Texas A&M University.

Prior to joining Base Wealth Management in August 2023, Mr. Wolfe was an Associate Planner with FourThought Financial Partners, LLC from November 2022 to July 2023, an Associate Planner with FourThought Financial LLC from October 2021 to July 2023, and a Financial Representative with Fidelity Brokerage Services, LLC from January 2015 to October 2021.

Mr. Wolfe is certified for financial planning services in the United States by the Certified Financial Planner Board of Standards, Inc. ("CFP Board"). Therefore, he may refer to himself as a CERTIFIED FINANCIAL PLANNER™ professional or a CFP® professional, and he may use these and the CFP Board's other certification marks (the "CFP Board Certification Marks"). The CFP® certification is voluntary. No federal or state law or regulation requires financial planners to hold the CFP® certification. You may find more information about the CFP® certification at [www.CFP.net](http://www.CFP.net).

CFP® professionals have met the CFP Board's high standards for education, examination, experience, and ethics. To become a CFP® professional, an individual must fulfill the following requirements:

- Education - Earn a bachelor's degree or higher from an accredited college or university and complete CFP Board-approved coursework at a college or university through a CFP Board Registered Program. The coursework covers the financial planning subject areas the CFP Board has determined are necessary for the competent and professional delivery of financial planning services, as well as a comprehensive financial plan development capstone course. A candidate may satisfy some of the coursework requirements through other qualifying credentials. CFP Board implemented the bachelor's degree or higher requirement in 2007 and the financial planning development capstone course requirement in March 2012. Therefore, a CFP® professional who first became certified before those dates may not have earned a bachelor's or higher degree or completed a financial planning development capstone course.
- Examination - Pass the comprehensive CFP® Certification Examination. The examination is designed to assess an individual's ability to integrate and apply a broad base of financial planning knowledge in the context of real-life financial planning situations.
- Experience - Complete 6,000 hours of professional experience related to the personal financial planning process, or 4,000 hours of apprenticeship experience that meets additional requirements.
- Ethics - Satisfy the Fitness Standards for Candidates for CFP® Certification and Former CFP® Professionals Seeking Reinstatement and agree to be bound by the CFP Board's Code of Ethics and Standards of Conduct ("Code and Standards"), which sets forth the ethical and practice standards for CFP® professionals.





Individuals who become certified must complete the following ongoing education and ethics requirements to remain certified and maintain the right to continue to use the CFP Board Certification Marks:

- Ethics - Commit to complying with the CFP Board's Code and Standards. This includes a commitment to the CFP Board, as part of the certification, to act as a fiduciary, and therefore, act in the best interests of the client, at all times when providing financial advice and financial planning. The CFP Board may sanction a CFP® professional who does not abide by this commitment,

but the CFP Board does not guarantee a CFP® professional's services. A client who seeks a similar commitment should obtain a written engagement that includes a fiduciary obligation to the client.

- Continuing Education - Complete 30 hours of continuing education every two years to maintain competence, demonstrate specified levels of knowledge, skills, and abilities, and keep up with developments in financial planning. Two of the hours must address the Code and Standards.

### **Item 3 Disciplinary Information**

There are no legal or disciplinary events or proceedings to report concerning Mr. Wolfe.

### **Item 4 Other Business Activities**

Mr. Wolfe is not actively engaged in any investment-related or non-investment-related business or occupation outside of Base Wealth.

### **Item 5 Additional Compensation**

Mr. Wolfe does not receive compensation or other economic benefit from anyone who is not a client for providing advisory services to Base Wealth clients.

### **Item 6 Supervision**

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Joshua M. Pisa, CPA, Wealth Advisor

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**This brochure supplement provides information about Joshua M. Pisa that supplements the Base Wealth Management brochure. You should have received a copy of that brochure. Please contact Joshua M. Pisa if you did not receive Base Wealth Management's brochure or if you have any questions about the contents of this supplement.**

**Additional information about Joshua M. Pisa is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).**



## **Item 2 Educational Background and Business Experience**

Joshua M. Pisa, CPA, Wealth Advisor, was born in 1982. Mr. Pisa earned a Bachelor of Science degree in Finance from the University of Tampa.

In addition to his role as a Financial Advisor at Base Wealth Management since January 2024, Mr. Pisa is the President/Owner of Strategic Tax Advisory LLC d/b/a Base Tax LLC since 2019.

Previously, Mr. Pisa was Director of Wealth Management with Walters Strategic Advisors, LLC from July 2020 to December 2023, Tax Partner with Walters & Associates CPAs from July 2020 to December 2023, Tax Director with Doyle Wealth Management, Inc. from January 2018 to July 2020, and Tax Manager with Ernst & Young, LLP from May 2013 to December 2017.

Certified Public Accountants, or CPAs, are the only licensed accounting professionals in the United States. CPA licenses are issued by each of the state boards of accountancy.

Earning the designation as a CPA requires a combination of educational experience, including 150 semester hours of college education that contains a balance of accounting, business, and general education courses; meeting state-determined minimum work experience requirements; and passing an examination consisting of four, four-hour sections, to demonstrate competence in the technical subject matter covering: auditing and attestation; business environment and concepts; financial accounting and reporting; and regulation. CPAs maintain their designation by taking 40 hours of continuing professional education annually.

## **Item 3 Disciplinary Information**

There are no legal or disciplinary events or proceedings to report concerning Mr. Pisa.

## **Item 4 Other Business Activities**

Mr. Pisa is the Owner and President of Strategic Tax Advisory, a CPA firm that provides tax and accounting services to small businesses and individuals. Mr. Pisa spends approximately 65% of his time on this outside activity.

Mr. Pisa is not actively engaged in any investment-related business or occupation outside of Base Wealth.

## **Item 5 Additional Compensation**

Mr. Pisa does not receive compensation or other economic benefit from anyone who is not a client for providing advisory services to Base Wealth clients.

## **Item 6 Supervision**

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Jeremy C. Riggs, CFP®, Financial Advisor

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## **Item 2 Educational Background and Business Experience**

Jeremy C. Riggs, CFP®, Financial Advisor, was born in 1985. Mr. Riggs earned a Bachelor of Science degree in Business Administration & Finance from Columbia Southern University.

Prior to joining Base Wealth Management in July 2024, Mr. Riggs was a Financial Representative with Fidelity Brokerage Services, LLC from December 2016 to June 2024.

Mr. Riggs is certified for financial planning services in the United States by the Certified Financial Planner Board of Standards, Inc. ("CFP Board"). Therefore, he may refer to himself as a CERTIFIED FINANCIAL PLANNER™ professional or a CFP® professional, and he may use these and the CFP Board's other certification marks (the "CFP Board Certification Marks"). The CFP® certification is voluntary. No federal or state law or regulation requires financial planners to hold the CFP® certification. You may find more information about the CFP® certification at [www.CFP.net](http://www.CFP.net).

CFP® professionals have met the CFP Board's high standards for education, examination, experience, and ethics. To become a CFP® professional, an individual must fulfill the following requirements:

- Education - Earn a bachelor's degree or higher from an accredited college or university and complete CFP Board-approved coursework at a college or university through a CFP Board Registered Program. The coursework covers the financial planning subject areas the CFP Board has determined are necessary for the competent and professional delivery of financial planning services, as well as a comprehensive financial plan development capstone course. A candidate may satisfy some of the coursework requirements through other qualifying credentials. CFP Board implemented the bachelor's degree or higher requirement in 2007 and the financial planning development capstone course requirement in March 2012. Therefore, a CFP® professional who first became certified before those dates may not have earned a bachelor's or higher degree or completed a financial planning development capstone course.
- Examination - Pass the comprehensive CFP® Certification Examination. The examination is designed to assess an individual's ability to integrate and apply a broad base of financial planning knowledge in the context of real-life financial planning situations.
- Experience - Complete 6,000 hours of professional experience related to the personal financial planning process, or 4,000 hours of apprenticeship experience that meets additional requirements.
- Ethics - Satisfy the Fitness Standards for Candidates for CFP® Certification and Former CFP® Professionals Seeking Reinstatement and agree to be bound by the CFP Board's Code of Ethics and Standards of Conduct ("Code and Standards"), which sets forth the ethical and practice standards for CFP® professionals.

Individuals who become certified must complete the following ongoing education and ethics requirements to remain certified and maintain the right to continue to use the CFP Board Certification Marks:

- Ethics - Commit to complying with the CFP Board's Code and Standards. This includes a commitment to the CFP Board, as part of the certification, to act as a fiduciary, and therefore, act in the best interests of the client, at all times when providing financial advice and financial planning. CFP Board may sanction a CFP® professional who does not abide by this commitment, but the CFP Board does not guarantee a CFP® professional's services. A client who seeks a similar commitment should obtain a written engagement that includes a fiduciary obligation to the client.



- Continuing Education - Complete 30 hours of continuing education every two years to maintain competence, demonstrate specified levels of knowledge, skills, and abilities, and keep up with developments in financial planning. Two of the hours must address the Code and Standards.

### **Item 3 Disciplinary Information**

There are no legal or disciplinary events or proceedings to report concerning Mr. Riggs.

### **Item 4 Other Business Activities**

Mr. Riggs is the Owner of Centerpoint Industries, a rental property management company. This outside activity is not material to Mr. Riggs' time or compensation.

Mr. Riggs is licensed and registered as an insurance agent to sell life, accident, and other lines of insurance for various insurance companies, whose activity is conducted through an affiliated agency, Base Insurance, LLC, and unaffiliated third-party insurance agencies. Therefore, he will be able to purchase insurance products for any client in need of such services and will receive separate, yet typical compensation in the form of commissions for the purchase of such products. This creates a conflict of interest because of the receipt of additional compensation by Mr. Riggs. Clients are not obligated to use Mr. Riggs for insurance products and services. However, in such instances, there is no Base Wealth management fee associated with commission-based insurance products, and clients will be made aware of all commissions associated with the products prior to the transactions.

These other business activities do not constitute a material amount of Mr. Riggs' time or income.

### **Item 5 Additional Compensation**

Mr. Riggs does not receive compensation or other economic benefit from anyone who is not a client for providing advisory services to Base Wealth clients.

### **Item 6 Supervision**

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